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U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	7	Application Number	09/930,960
		Filing Date	August 17, 2001
		First Named Inventor	Vishnu K. Agarwal
		Group Art Unit	2814
		Examiner Name	Theresa T. Doan
		Attorney Docket Number	M4065.0151/P151-A

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1) Amendment Transmittal
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2) Amendment and Response to non-final Office Action
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Thomas J. D'Amico, #28,371
Signature	
Date	January 6, 2003



# AMENDMENT TRANSMITTAL LETTER

Application No.  
09/930,960

Filing Date  
August 17, 2001

Docket No.  
M4065.0151P151-A  
Examiner  
Theresa T. Doan  
Art Unit  
2814  
JAN - 2003  
TECHNOLOGY CENTER 2800

Applicant(s): Vishnu K. Agarwal, et al.

Invention: MULTILAYER ELECTRODE FOR A FERROELECTRIC CAPACITOR

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.  
The fee has been calculated and is transmitted as shown below.

### CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	51	- 54 =			
Independent Claims	3	- 3 =		x	0.00
				x	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					0.00

☒ Large Entity

☐ Small Entity

☒ No additional fee is required for this amendment.

☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_  
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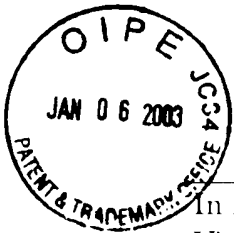
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☒ Credit any overpayment.

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Thomas J. D'Amico  
Attorney Reg. No.: 28,371  
DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP  
2101 L Street NW  
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Dated: January 6, 2003



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1-13-03 C. Moore  
Docket No.: M4065.015  
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TECHNOLOGY CENTER 2800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Vishnu K. Agarwal, et al.

Application No.: 09/930,960

Group Art Unit: 2814

Filed: August 17, 2001

Examiner: Theresa T. Doan

For: MULTILAYER ELECTRODE FOR A  
FERROELECTRIC CAPACITOR

**AMENDMENT**

**BOX: NON-FEE AMENDMENT**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Responsive to the Office Action dated October 4, 2002, please amend the  
above-captioned application as follows:

**IN THE CLAIMS:**

Please cancel claims 2, 3, and 16.

Please rewrite claims 1, 14, and 38 as follows:

1. (amended) A capacitor, comprising:

an electrode having a layer comprising platinum-rhodium material and a layer  
comprising platinum material on top of the platinum-rhodium layer, wherein the layer  
comprising platinum-rhodium comprises approximately 3 to approximately 40 percent  
rhodium and approximately 60 to approximately 97 percent platinum.